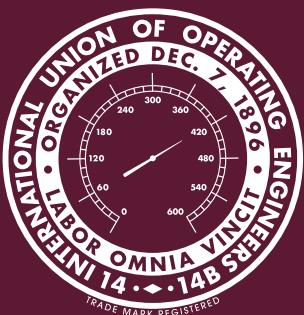




Training Fund

Summary Plan Description



Local 14-14B



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This booklet is the Summary Plan Description (“SPD”) for the Training Fund as of January 1, 2007. It’s meant to help you understand how the Plan works. It doesn’t change the official rules and regulations in the official Plan document or other documents, including trust agreements and the collective bargaining agreements establishing the Plan. Rights to benefits are determined only by referring to the full text of official Plan documents (available for your inspection at the Fund Office) or by official action of the Board of Trustees. If there is any conflict between the terms of the official rules and regulations of the Training Fund or the Plan it has adopted and this Summary Plan Description, the official rules and regulations shall control. In addition, the Board of Trustees reserves the right, in its sole and absolute discretion, to amend or end this Plan at any time, subject to the terms of the applicable collective bargaining agreements.

Introduction



The Local 14-14B Training Fund offers training and skill advancement opportunities for trainees and journeypersons. The Training Fund also provides technological and industrial advancement for the construction industry overall by offering appropriate, innovative training as determined by the Board of Trustees.

Since its establishment in 1970, the Local 14-14B Training Fund, through its Training Programs, has endeavored to develop training and skill advancement programs for apprentices and journeypersons that remain true to its overall mission: *to provide training that keeps the Operating Engineers ranked as the greatest skilled trade in the New York City construction industry.* Here are some of our major accomplishments over recent years:

- In 1984, we moved the Training School to its current location in Montrose, New York where we continue to offer state-of-the-art training on the latest and most advanced equipment.
- We acquired one of the first crane training simulators in the Northeast in February 2000.
- In November 2000, we received the prestigious Construction Safety Excellence Award in Technology from the New York City Building Trades.
- In August 2006, we acquired the first excavator simulator in the Northeast. This CAT Excavator Simulator enables operators to dig, trench, load trucks and grade, thereby providing an outstanding hands-on training tool in a safe environment.
- Over this past year, the Training School had almost 1,200 visits from members who participated in a variety of classes and programs and were either certified or recertified.
- Our instructors are highly skilled in each of their subject areas and hold New York City Board of Education Evening Teacher's Licenses.

Services



What You Can Achieve Through Training

The programs available through the Training School provide organized classroom instruction and “hands-on” supplemental instruction on heavy equipment. Classroom facilities are available at the Montrose Training Campus or the Union Hall for registered apprentices and journeypersons.

If approved by the Director of Journeyperson and Apprenticeship Training, special job training appointments and sessions are also provided by the program’s instructors at the training site.

Journeyperson Training

The journeyperson training program provides experienced operating engineers with the opportunity to improve their existing skills, perfect new skills and participate in training classes that will enable them to broaden their employment opportunities. We offer classes in a variety of disciplines and provide daily opportunity to practice and enhance one’s skills on a wide array of heavy construction equipment.

Apprenticeship Training

Employers and the Union have established a Joint Apprenticeship Training Committee (JAC) to oversee our apprenticeship training program which is registered with the State of New York. The goal of the apprenticeship training program is to teach registered apprentices the skills required of Local 14-14B members to operate heavy construction equipment.

We seek program applicants who are of excellent character, willing to work hard, and wish to be an asset to the construction industry and the Operating Engineers. Registered apprentices are required to complete 144 hours of related instructions each year, with a three-year program requirement for each registered apprentice. Our program helps apprentices meet their continuing education goals. Please contact the Director of Journeyperson and Apprenticeship Training for more information concerning this program.

Program Courses

Courses are available on an ongoing basis and are regularly announced at membership meetings and in the Union’s newspaper, *The Record*. Hands-on training is available at the Montrose Training Campus on weekdays and Saturdays.

Available courses include:

- Excavator Proficiency Program and Trenching Safety;
- Forklift Proficiency Program;
- New York State Certificate of Competency Preparation (written and practical exams)*;
- New York City HMO “A” License Preparation;
- New York City “B” Endorsement (long boom)*;
- New York City “C” License Preparation (hydraulic crane, written and practical exams)*;
- Load Chart Literacy Program;
- How to use LMI, Computers and Operational Aides;
- Rigging Workshops;
- HAZMAT asbestos removal classes; and
- OSHA-required Certification Programs, including:
 - OSHA 40 Hour Certification
 - OSHA 8 Hour Certification and OSHA 8 Hour Refresher
 - OSHA 16 Hour Certification
 - OSHA Confined Space Certification
 - OSHA 5600 Disaster Site Worker Certification
 - OSHA 500 Courses Certification

**The Training Fund hosts and proctors these exams.*

For further information about available courses, please contact the Training School at (914) 739-3311.

Computer Lab. Everyone can benefit from new technologies that are now available at the training facility. The facility is equipped with a computer system that provides easy access to training information. This system provides students and instructors with the ability to view various classes offered at the Montrose Training Campus and at other locations. Instructors can monitor a student's progress online, apply credited experience when applicable and make class instructions readily accessible to students.

The school's computers record nearly all school operations. Equipment repairs and maintenance records are logged online and computer-generated purchase orders allow tracking of expenditures. Preventive maintenance records will soon be added to the system. Having instant access to information is an important tool in accomplishing the Fund's training mission.

How to Enroll. If you are interested in enrolling in a course, please contact Director of Journeyperson and Apprenticeship Training Steve Nolan or Assistant Director Michael Gonoud at (914) 739-3311.

The Training Campus

The Local 14-14B Training Campus is located in Montrose, New York (northwest Westchester County), approximately 30 miles from the Fund Office in Flushing. (Directions are available on page 9.) The Training Campus offers many opportunities to improve and enhance your skills and knowledge. We urge you to take full advantage of this facility, its staff and the classes available.

When visiting the campus for the first time, log in just as you do at the Hall. You'll be asked to sign a waiver, explaining that you are responsible for the cleanliness, fueling, and greasing of the specific machine(s), and you'll be required to wear personal protective equipment (i.e., work boots, hardhat, safety goggles, reflective vest, and work gloves). After determining your current skills, as well as what skill improvements, certifications, and licenses you are interested in, we'll develop a training plan to help you achieve your goals.

Types of Equipment



Koehring 6620 Excavator (1990)

The Koehring 6620 has a medium reach and is user-friendly.



Cat 225 Excavator (1984)

This short-reach excavator is powerful and considered to be an industry standard.



Link-Belt 4300 Excavator (1990)

Operators find it is easy to learn grading and truck loading on this powerful and fast excavator. It has the longest reach of any excavator on site.



Link-Belt 98 Truck Crane (1958)

The New York State licensing exam is given on this machine. Its single-line operation provides a great opportunity to practice concrete placement.



Lorain 275 Cherry Picker (1990)

The Lorain 275 is used for the NYC "C" license. It is equipped with jib and stinger and has a microguard LMI.



Pettibone 160 Hydraulic Crane (1980)

This high-tech, powerful crane has a 92' boom and PAT computer.



Guy Derrick (1929)

This “vintage” boom derrick, a mainstay of the HMO “A” license exam, is used to help explain hoist machine operations at their most basic levels. Its two hoist drums and straight mechanical components are highly visible and easily explained.



Global Sim Crane Simulator (2000)

This state-of-the-art crane simulator with LMI enables operators to hoist steel and crates, load trucks, and build bridges – one-on-one with a qualified instructor. The cab is identical to the Manitowoc 222-888, with motion sensors that provide a realistic simulation of work situations.



P&H Truck Crane (1975)

This conventional truck crane with a 160' main boom and a 40' jib provides hands-on practical training for both short- and long-boom set-ups and moving operations.



P&H Crawler Crane (1970)

Set up as a clamshell-type operation, this crane teaches operators how to operate multi-drums simultaneously, as well as how to dig and load trucks. Open machinery spaces enable instructors to demonstrate machine operations without disassembling equipment.



CAT 320C Excavator (2006)

This new, state-of-the-art excavator is one of Local 14-14B's ongoing commitments to continue to set the standard for operator proficiency by bringing the newest technology and training to our operators.



CAT 320 Excavator Simulator (2006)

The first of its kind in the Northeast, this innovative excavator simulator gives operators a head start in digging, trenching, loading trucks and grading – in a realistic training environment. Caterpillar designed the controls to mirror the CAT 320 so the transition to the real machine is efficient and safe.



CAT 563C Roller (2004)

This machine offers high compaction performance. Its operator's station provides a comfortable and easy-to-use environment.



CAT 318C Wheel Excavator (2003)

This equipment, with its increased lifting capacity and ease of operation, provides a hands-on practical training experience.



Ingersoll-Rand VR90 Rough Terrain Forklift (1990)

Considered an industry standard, this machine is used for forklift certification.



Hitachi Zaxis 50-2 Mini Excavator (2006)

Each Hitachi Zaxis 50-2 is equipped with a digging bucket or hammer attachment.



Link-Belt 8030 (Spring 2007)

This rough terrain crane combines proven Link-Belt design features with the latest in industry technology and innovation.



CAT D3G XL Bulldozer

This equipment combines the power of a larger tractor with the versatility and maneuverability of a smaller machine to meet a wide range of job applications. Its comfortable operator station, excellent visibility and simple joystick control make this machine ideal for the HMO "A" licensing exam.





Campus Location

The Montrose Training Campus is located at 2093 Albany Post Road in Montrose, New York 10548; phone: (914) 739-3311; fax: (914) 739-3313; e-mail: local14training@optonline.net.

The campus is across the street from the FDR Veterans Administration Hospital.

Directions to the Montrose Training Campus

Driving From Long Island, Brooklyn and Queens

- Whitestone Bridge to Hutchinson River Parkway North to Exit 13.
- Cross County Parkway to Bronx River Parkway/Sprain Brook Parkway North.
- Merge Right to Sprain Brook Parkway.
- Take the Sprain Brook Parkway North. It becomes the Taconic State Parkway.
- Continue on the Taconic State Parkway to Exit NY-9A/100.
- Follow signs to Route 9A North.
- Follow Route 9A North to Montrose/Buchanan Exit.
- Make Left at the light at the bottom of the ramp (Albany Post Road).
- Stay on Albany Post Road for approximately 1.5 miles.
- Turn Right into the Training Center driveway, which is directly across the street from the FDR Veterans Administration Hospital entrance.

Driving From Manhattan

- West Side Highway (Route 9A) or the Henry Hudson Parkway North to the Saw Mill River Parkway North.
- Then, take the Taconic State Parkway North and follow the same directions as noted for Long Island, Brooklyn and Queens.

Driving From New Jersey and Rockland County

- Take the Palisades Parkway North to the end.
- Proceed over the Bear Mountain Bridge.
- Take Route 6 to Route 9A South.
- Take Route 9A South for approximately 5-8 miles to the Welcher Avenue Exit.
- At the exit, go straight through the light and continue driving for approximately 2 miles.
- Turn Left into the Training Center driveway, which is directly across the street from the FDR Veterans Administration Hospital entrance.

From Manhattan by Train

- Take Metro-North from Grand Central Terminal to the Cortland Station. Approximately one hour travel time.

Hours of Operation. The Training Center is usually open from 8:00 a.m. until 5:00 p.m. daily and on Saturdays.

Industry Advancement and Safety Initiatives

The Local 14-14B Training Fund's mandate includes supporting Industry Advancement Initiatives that will promote technological, legislative and safety and health-related issues that are in the best interests of the Operating Engineers. To this end, representatives from the Local 14-14B Training Fund attend and participate in state, regional and national conferences, along with those coordinated by the International Union of Operating Engineers, and take an active role in committee meetings involving numerous agencies, including:

New York City Department of Buildings

New York State Department of Labor

OSHA

Prevailing Wage

City Council

Central Labor Council

New York State AFL-CIO

NYC Building Trades

The Building Trades Employers' Association

The Concrete Alliance

The Port Authority of New York & New Jersey

The Lower Manhattan Development Corporation

Mount Sinai

WTC Safety Committee

Homeland Security

The Department of Environmental Protection

The New York City Building Congress

*The New York City School Construction Authority
and Department of Education*

Con Edison

New York State Power Authority

The Construction Industry Partnership

The Crane & Derrick Advisory Committee

ANSI

NYCOSH

FEMA

The Department of Transportation

The Metropolitan Transit Authority

Helmets to Hardhats

In addition, legislative matters are reviewed and monitored by the Local 14-14B Training Fund in conjunction with the Union and cover a broad range of topics, including Crane Licensing, Prevailing Wage issues, New York City Department of Buildings and OSHA Inspections, Union Organizing, Local Zoning issues and Public Fund initiatives such as Bond Acts.

These efforts are coordinated through the Training Fund's Director for Industry Advancement, James P. Conway, who can be contacted at (718) 939-0600, ext. 125.

Other Information You Should Know



Plan Administration

The Training Fund is administered by a Board of Trustees consisting of both Union and Employer representatives. The Training Fund is financed through employer contributions in accordance with collective bargaining agreements, with assets held in a trust fund in order to provide benefits to covered participants and pay administrative expenses. The Fund's assets and reserves are invested in government bonds.

Plan Interpretation

The Board of Trustees (or its designee(s)) has the exclusive right, power, and authority, in its sole and absolute discretion, to administer, apply and interpret the Plan and any other Plan documents and to decide all matters arising in connection with the operation or administration of the Fund. Among other things, the Board of Trustees (or its designee(s)) has the sole and absolute discretion to: (1) take all actions and make all decisions with respect to eligibility for benefits under the Fund; (2) formulate, interpret and apply rules, regulations and policies necessary to administer the Fund in accordance with the Plan's terms; (3) decide all questions, including legal or factual questions, relating to the nature and scope of benefits provided under the Fund; (4) resolve and/or clarify any ambiguities, inconsistencies and omissions arising under the Plan or other Plan documents; and (5) process, and approve or deny, benefit claims and rule on any benefit exclusions and determine the standard of proof required in any case. The Board of Trustees may delegate any duties or powers as it deems necessary to carry out the administration of the Plan.

All determinations and interpretations made by the Board of Trustees or its designee(s) with respect to any matter arising under the Fund, the Plan or any other Plan document will be final and binding on all individuals claiming benefits under the Fund.

Code of Conduct

A journeyperson or apprentice at the Montrose Training Campus is expected to conduct him- or herself in a professional manner similar to that expected of an Operating Engineer at a job site. A journeyperson or apprentice will be dismissed from the Montrose Training Campus and/or any class for disruptive or inappropriate behavior, such as:

- Drunkenness;
- Theft of equipment;
- Use of narcotics;
- Fighting;
- Gross disrespect to instructors;
- Behavior creating a danger to the apprentice and/or other apprentices;
- Gross misbehavior and/or improprieties;
- Refusing to follow OSHA regulations; or
- Poor attendance and grades in the related instruction classes.

It is important to note that sleeping at the site is prohibited, as is conducting or participating in any service or purported game of chance or skill.

Right of Appeal

If a dispute arises as to the administration of these benefits, a participant may request a review of the matter within 60 days after becoming aware of the problem. A participant may request a review, may have the opportunity to review pertinent documents, and may submit issues and comments in writing to the Board of Trustees. Requests for review must be made in writing and should be sent to the Fund Office. The Board of Trustees will render a decision within 60 days after the receipt of the request for a review unless special circumstances require an extension of time, in which case a decision will be rendered within 120 days. The decision of the Board of Trustees will be in writing and will include the specific reason(s) for the decision and specific references to provisions of Plan documents or policy decisions on which the decision is based.

Apprentices are directed to review the appeal procedures identified in the Joint Apprenticeship Committee Procedures in the event that they request a review of a matter concerning their apprentice status.

Non-Discrimination Policy

The Local 14-14B Training and Apprenticeship Programs admit students and provide equal opportunity to all persons without regard to race, color, sex, religion, age, national origin, disability, marital status or Vietnam-era veteran status.

Your Rights Under the Employee Retirement Income Security Act of 1974 (ERISA)



As a participant in the IUOE Local 14-14B Training Fund, you are entitled to certain rights and protections under the Employee Retirement Income Security Act of 1974 (ERISA). ERISA provides that all Plan participants are entitled to the following.

Receive Information about Your Plan and Benefits

- Examine, without charge, at the Fund Office all documents governing the Training Fund, including collective bargaining agreements and a copy of the latest annual report (Form 5500 series) filed by the Plan with the U.S. Department of Labor and available at the Public Disclosure Room of the Employee Benefits Security Administration.
- Obtain, upon written request to the Plan Administrator, copies of documents governing the operation of the Plan, including collective bargaining agreements, and copies of the latest annual report (Form 5500 series) and an updated summary plan description. The Plan Administrator may make a reasonable charge for the copies.
- Receive a summary of the Training Fund annual financial report. The Trustees are required by law to furnish each participant with a copy of this summary annual report.

Prudent Actions by Plan Fiduciaries

In addition to creating rights for Training Program participants, ERISA imposes duties upon the people who are responsible for the operation of the employee benefit plan. The people who operate your Fund, called “fiduciaries” of the Plan, have a duty to do so cautiously and in the interest of you and other Plan participants and beneficiaries. No one, including your employer, your union, or any other person, may fire you or otherwise discriminate against you in any way to prevent you from obtaining a training benefit or exercising your rights under ERISA.

Enforce Your Rights

If your claim for a Training Fund benefit is denied or ignored, in whole or in part, you have a right to know why this was done, to obtain copies of documents relating to the decision without charge, and to appeal any denial, all within certain time schedules.

Under ERISA, there are steps you can take to enforce the above rights. For instance, if you request a copy of Plan documents and do not receive them within 30 days, you may file suit in a Federal court. In such a case, the court may require the Plan Administrator to provide the materials and pay you up to \$110 a day until you receive the materials, unless the materials were not sent because of reasons beyond the control of the Plan Administrator.

If you have a claim for benefits that is denied or ignored, in whole or in part, you may file suit in a state or Federal court. In addition, if you disagree with the Plan’s decision, you may file suit in Federal court. If it should happen that Fund fiduciaries misuse the Plan’s money, or if you are discriminated against for asserting your rights, you may seek assistance from the U.S. Department of Labor, or you may file suit in a Federal court. The court will decide who should pay court costs and legal fees. If you are successful, the court may order the person you have sued to pay these costs and fees. However, if the court finds your claim is frivolous, the court may order you to pay these costs and fees.

Assistance with Your Questions

If you have any questions about the Training Fund, you should contact the Plan Administrator. If you have any questions about this statement or about your rights under ERISA, or if you need assistance in obtaining documents from the Plan Administrator, you should contact the nearest Office of the Employee Benefits Security Administration, U.S. Department of Labor, listed in your telephone directory, or:

**Division of Technical Assistance and Inquiries
Employee Benefits Security Administration
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210**

You may also obtain certain publications about your rights and responsibilities under ERISA by calling the publication hotline of the Employee Benefits Security Administration.

Plan Facts



Official Plan Name	International Union of Operating Engineers Local 14-14B Training Fund
Employer Identification Number	13-6318522
Plan Number	501
Plan Year	July 1 – June 30
Type of Plan	Training Program
Board of Trustees	<p>Union Trustees</p> <p>Edwin Christian Chris Confrey John Cronin Daniel Noesges</p> <p>Employer Trustees</p> <p>Francis P. DiMenna, General Contractors Assn. John O'Hare, Building Contractors Assn. John Hyers, Sr., Contractors Assn. of Greater N.Y. Al Gerosa, The Cement League</p>
Fund Administrator	Judith A. Renick, Fund Manager 141-57 Northern Boulevard Flushing, NY 11354 Telephone: (718) 939-1489
Agent for Service of Legal Process	<p>Legal process may be served on the Plan or on any member of the Board of Trustees at the address listed below.</p> <p>The Board of Trustees for the International Union of Operating Engineers Local 14-14B Training Fund 141-57 Northern Boulevard Flushing, NY 11354</p>
Training School Contact Information	<p>Steve Nolan, Director of Journey person and Apprenticeship Training (914) 739-3311</p> <p>Michael Gonoud, Assistant Director (914) 739-3311</p>
Industry Advancement Information	James P. Conway, Director for Industry Advancement (718) 939-0600, ext. 125

Notes







Local 14-14B